Supplementary Form No. 5

Application for Dual Employment as an Auditor (Permission Form)

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| President of Tohoku University    I would like to apply for permission to engage in dual employment as described below.  (Applicant) Affiliation / Job Title  Name Seal | | | |
| 1. Location of Secondary Employment | | | |
| Company Name etc. |  | | |
| Location |  | | |
| Content of Business |  | | |
| Parent Company | Parent Company: Y / N Name:  Location:  Content of Business | | |
| Anticipated Annual Remuneration | Yen | | |
| Numbers of Shares of Special Stock / Total Number of Shares of Stock Issued | shares / shares | | |
| Anticipated number of hours required for auditing work | □ Every \_\_\_day \_\_\_\_:\_\_\_\_ - \_\_\_\_:\_\_\_\_  □ times per year / month / week / other period  □ Other ( )  1 time = \_\_\_\_ hours | | |
| Method of transport from your workplace to the secondary workplace and the number of hours required | Method of Transport Number of Hours Required  Out Approx. hours mins  Return Approx. hours mins | | |
| Term of office of officers etc. and anticipated length of dual employment | (Fixed Term：Y / N　　　　years)From \_\_\_\_/\_\_\_\_/20\_\_\_　to　\_\_\_/\_\_\_\_/20\_\_\_ | | |
| Is there a strong influence exerted on the operation of the company by the staff member's family members? | | | Y　/　N |
| 2. Contents of any specialist expertise related to the applicants profession that is required to carry out their duties as the auditor of a publicly company. | | | |
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| 3. Is there anything that would interfere with the applicant's ability to carry out their duties as an employee of this University? | | | |
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| 4. Do any relationships exist during the period of the applicant's current position, or in any position held in the past two years, between the applicant and the company in question (including its parent company. Also include activities related to application of results of the applicant's research)? | | | |
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| 5. The existence of any other impediments to ensuring the fairness and trustworthiness of the University's operations. | | | |
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| 6. Any other points to note. | | | |
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| **[Regarding this University's Profit Sharing Management]**  Submit an "Application for Profit Sharing" and check one of the following boxes to indicate the status of approval of the application.  **□ Approval of the Profit Sharing Management Committee Received (Date of Receipt of Approval ( / )**  **□ "Application for Profit Sharing" submitted to the Profit Sharing Management Committee ( submitted: / )**  **□ Conditions for submitting an "Application for Profit Sharing" not met**  \* Confirm economic interests in the location subsidiary employment in the "Application for Profit Sharing". In the case where the conditions are met, it will be necessary to conduct procedures in the Profit Management Sharing Committee in parallel with the original application. The "Application for Profit Sharing" can be downloaded from the Profit Management Sharing Committee homepage at (http://www.bureau.tohoku.ac.jp/coi/assessment/2jisyou.html). | | | |
| **[Regarding this University's Export Security Management]**  Check one of the following options and enter additional details to indicate the status of export security management.  **□ Decision Makers Approval Received ( Date of approval of "Export Management Sheet" ( / )**  **□ "Export Management Sheet" submitted ( Submitted on: / )**  **□ Conditions for the submission of an "Export Management Sheet" not met**  \* In the case of transfer of technology through subsidiary employment to overseas companies etc., it may be necessary to conduct procedures relating to export security management in parallel to the original application. Confirm this by checking the "Dual Employment Check Flowchart" in the "Basic Flowcharts" section of the "Export Security Management at Tohoku University" homepage (http://www.bureau.tohoku.ac.jp/export/gakunai/anzen/gakunai\_kakotsuchi.html). | | | |
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| \*This section to be entered by central administration | | | |
| Date of Official Receipt: ＿＿ /＿＿/20＿＿ | | | |
| ＿＿ /＿＿/20＿＿  I hereby grant my approval to secondary employment as set out in the above application.  President, Tohoku University Seal | | | |
| (Note) In the event that this application for secondary employment is approved the details of employees engaged in secondary employment will be published on the University Website according to the provisions of Article 7-2 of the University of Tohoku Regulation for Secondary Employment. | | | |