Supplementary Form No. 3

Application for Permission for Dual Employment as an Executive of
a Company Developing Applications of Research (Permission Form)

|  |  |
| --- | --- |
|  | ＿＿ /＿＿/20＿＿ |
| President of Tohoku University I would like to apply for permission to engage in dual employment as described below. (Applicant) Affiliation / Job Title Name Seal |
| 1. Details of Dual Employment |
| Name of Company Applying Research Results |  |
| Location |  |
| Content of Business(Include business activities other than application of research results) |  |
| Parent Company of Company Applying Research Results | Parent Company: Y / N Name: Location: Content of Business  |
| Duties of jointly employed officer(s) etc. | Title (of officer(s) etc.) (Right to Represent the Company: Y / N) (Administrative Responsibilities: Y / N) Content of responsibilitiesDegree of involvement in application of research results: |
| Involvement in negotiating with the University, such as signing contracts ( excluding business related to the application of research results) : Y / N |
| Anticipated annual remuneration |  Yen |
| Number of shares of special stock / Total number of shares issued |  shares / shares |
| Anticipated hours of work required to discharge the responsibilities of a company officer  | □ Every \_\_\_day \_\_\_\_:\_\_\_\_ - \_\_\_\_:\_\_\_\_□ times per year / month / week / other period□ Other ( )1 time = \_\_\_\_ hours |
| Method of transport and time required to travel between work locations |  Method of Transport Time RequiredOutward journey Approximately hours minutesReturn Journey Approximately hours minutes |
| Term of office of officers etc. and anticipated length of dual employment | (Term of Office: Y / N \_\_\_\_ years)From: To: |
| 2. The research results were the products of the applicant’s own research and they are the object of the company that it is anticipated will be created to apply them.  |
|  |
| 3. Obstacles to performing the employees original duties as an employee of this university |
|  |
| Intention to take administrative leave: Yes (From: To: ) / No |
| 4. Relationships (Including matters that relate to the application of research results developed by the applicant in their current position, or in any positions held in a two year period preceding the application) with the company in question (including its parent company).  |
|  |
| 5. The existence of any other impediments to ensuring the fairness and trustworthiness of the University's operations. |
|  |
| 6. Other relevant matters (including entering the period when it is expected the company will stop applying the research results) |
|  |
| **[Regarding this University's management of profit sharing]**Indicate the status of the submission and approval of the "Application for the institution of profit sharing" by checking the appropriate boxes or filling out details where required.**□ Approval of the Profit Sharing Management Committee obtained (Written decision to approve dated ( \_\_\_\_ / \_\_\_\_)** **□ "Application for the institution of profit sharing" submitted to the Profit Sharing Management Committee (Date of submission \_\_\_\_/\_\_\_\_)**\* It will be necessary to complete other procedures, as required by the Profit Sharing Management Committee, in parallel with the process of the application. Download the "Application for the institution of profit sharing" form from the Profit Sharing Management Committee's home page: (http://www.bureau.tohoku.ac.jp/coi/assessment/2jisyou.html). |
| **[Regarding this University's management of export security guarantees]**Indicate the progress of procedures related to the management of export security guarantees, by checking the appropriate box below or filling out details where required.。**□ Approval of the appropriate authorities received ( Date of approval of the "Export Management Sheet" ( \_\_\_\_ / \_\_\_\_\_))****□ "Export Management Sheet" submitted ( \_\_\_\_ / \_\_\_\_\_))****□ Conditions for submission on an "Export Management Sheet" have not been met**\* In cases of dual employment where transfer of technology to a foreign country is anticipated, it may be necessary to complete the procedures for export security management in parallel with the process of the application. Confirm this by referring to the "Dual Employment Check Flow Chart", which can be found under "Basic Flow Charts" in the "Export Security Management at Tohoku University" section of the University Website（http://www.bureau.tohoku.ac.jp/export/gakunai/anzen/gakunai\_kakotsuchi.html） |
|  |
| \* To be completed by the Central Administration Office |
| Date of Receipt of Application: ＿＿ /＿＿/20＿＿ |
| ＿＿ /＿＿/20＿＿Permission for dual employment according to the above application is granted. The President, Tohoku University Seal  |
| (Note) In the event that dual employment is approved, the details will be published on the University Website as a member of the University holding dual employment as a company officer or in a similar role, according to the provisions of Article 7-2 of the Tohoku University Regulations on Dual Employment. |