Supplementary Form No. 1

Application for Permission for Dual Employment as an Executive of   
a Technology Licensing Organization（Permission Form）

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|  | | ＿＿ /＿＿/20＿＿ |
| President of Tohoku University    I would like to apply for permission to engage in dual employment as described below.  (Applicant) Affiliation / Job Title  Name Seal | | |
| 1. Details of Dual Employment | | |
| Name of Technology Transfer Enterprise |  | |
| Location |  | |
| Content of Business  (Include business activities other than technology transfer) |  | |
| Parent company of the technology transfer company | Parent Company: Y / N Name:  Location:  Content of Business | |
| Duties of jointly employed officer(s) etc. | Title (of officer(s) etc.)  (Right to Represent the Company: Y / N) (Administrative Responsibilities: Y / N)  Content of responsibilities  Degree of involvement in technology transfer: | |
| Involvement in negotiating with the University, such as signing contracts ( excluding technology transfer related business) : Y / N | |
| Anticipated annual remuneration | Yen | |
| Number of shares of special stock / Total number of shares issued | shares / shares | |
| Anticipated hours of work required to discharge the responsibilities of a company officer | □ Every \_\_\_day \_\_\_\_:\_\_\_\_ - \_\_\_\_:\_\_\_\_  □ times per year / month / week / other period  □ Other ( )  1 time = \_\_\_\_ hours | |
| Method of transport and time required to travel between work locations | Method of Transport Time Required  Outward journey Approximately hours minutes  Return Journey Approximately hours minutes | |
| Term of office of officers etc. and anticipated length of dual employment | (Term of Office: Y / N \_\_\_\_ years)  From: To: | |
| 2. Familiarity the relevant research results for the technology transfer and their contents | | |
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| 3. Obstacles to performing the employees original duties as an employee of this university | | |
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| 4. Relationships (Including matters that relate to transfer of technologies developed by the applicant or to the contents of the applicants research results) between the applicant in their current position, or in any positions held in a two year period preceding the application, with the technological transfer enterprise in question (including its parent company). | | |
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| 5. The existence of any other impediments to ensuring the fairness and trustworthiness of the University's operations. | | |
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| 6. Other relevant matters | | |
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| **[Regarding this University's management of profit sharing]**  Indicate the status of the submission and approval of the "Application for the institution of profit sharing" by checking the appropriate boxes or filling out details where required.  **□ Approval of the Profit Sharing Management Committee obtained (Written decision to approve dated ( \_\_\_\_ / \_\_\_\_)**  **□ "Application for the institution of profit sharing" submitted to the Profit Sharing Management Committee (Date of submission \_\_\_\_/\_\_\_\_)**  **□ Conditions for submission on an "Application for the institution of profit sharing" have not been met**  \* In the case where the economic basis of the financial relationship as set out in the "Application for the institution of profit sharing" has been confirmed, and the conditions for profit sharing have been met, it will be necessary to complete other procedures, as required by the Profit Sharing Management Committee, in parallel with the process of the application. Download the "Application for the institution of profit sharing" form from the Profit Sharing Management Committee's home page: (http://www.bureau.tohoku.ac.jp/coi/assessment/2jisyou.html). | | |
| **[Regarding this University's management of export security guarantees]**  Indicate the progress of procedures related to the management of export security guarantees, by checking the appropriate box below or filling out details where required.。  **□ Approval of the appropriate authorities received ( Date of approval of the "Export Management Sheet" ( \_\_\_\_ / \_\_\_\_\_))**  **□ "Export Management Sheet" submitted ( \_\_\_\_ / \_\_\_\_\_))**  **□ Conditions for submission on an "Export Management Sheet" have not been met**  \* In cases of dual employment where transfer of technology to a foreign country is anticipated, it may be necessary to complete the procedures for export security management in parallel with the process of the application. Confirm this by referring to the "Dual Employment Check Flow Chart", which can be found under "Basic Flow Charts" in the "Export Security Management at Tohoku University" section of the University Website（http://www.bureau.tohoku.ac.jp/export/gakunai/anzen/gakunai\_kakotsuchi.html） | | |
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| \* To be completed by the Central Administration Office | | |
| Date of Receipt of Application: ＿＿ /＿＿/20＿＿ | | |
| ＿＿ /＿＿/20＿＿  Permission for dual employment according to the above application is granted.  The President, Tohoku University Seal | | |
| (Note) In the event that dual employment is approved, the details will be published on the University Website as a member of the University holding dual employment as a company officer or in a similar role, according to the provisions of Article 7-2 of the Tohoku University Regulations on Dual Employment. | | |